



# 2019 NBPA CERTIFIED AGENT APPLICATION

Dear Prospective Agent,

Thank you for your interest in becoming a certified agent with the National Basketball Players Association. Please complete the enclosed application in its entirety prior to submitting for review. Each question must be answered in order for us to fully evaluate your credentials. If you require more space to fully answer a question, please attach an addendum to the application on the "File Upload" page. Failure to provide all required documents will delay the process of your application.

Please note that submission of your application must include a non-refundable application fee of \$250.00 and the required annual dues, prorated for this season, in the amount of \$1,250.00. Applicants will be required to provide full payment in the amount of \$1,500.00 before the application can be submitted.

Please review the NBPA Agent Certification FAQs prior to beginning the enclosed application. If there are any additional questions regarding this process or the NBPA Regulations Governing Player Agents, please do not hesitate to contact our offices.

Respectfully,

NBPA Legal

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# Consent & Release

AUTHORITY AND CONSENT TO RELEASE INFORMATION INCLUDING CONSUMER REPORTS AND CONSUMER INVESTIGATIVE REPORTS UNDER THE FEDERAL FAIR CREDIT REPORTING ACT

1 | Screening Questionnaire for Identification Purposes:

**Name\***

First Name

Middle Name (optional)

Last Name

**Select an Identification Type:**

☐ Social Security Number

☐ National Identification Number (International Applicants)

**Address\***

Address Line 1

Address Line 2

City

Country

Date Of Birth\*

Email\*

Primary Phone Number\*

Fax Number

Driver's License Number

State Issued

Passport ID Number

Country Issued

Website

Instagram

LinkedIn

Twitter

I hereby authorize the National Basketball Players Association and all of its agents to request and receive any information and records concerning me, including, but not limited to, consumer credit, criminal record history, driving, employment, military, civil, regulatory, educational data, and reports from individuals, corporations, partnerships, courts, law enforcement, and licensing agencies, consumer reporting agencies, and other entities, including my present and previous employers.

I further release and discharge the National Basketball Players Association, all of its agents and all of its subsidiaries and affiliates, and every employee or agent of any of them, and all individuals and personal, business, private, or public entities of any kind, from any and all claims and liability arising out of any request(s) for, or receipt of, information or records pursuant to this authorization, or arising out of any compliance, or attempted compliance, with such request(s).

I also authorize the procurement of an investigative consumer report and understand that it may involve personal interviews with sources such as friends, neighbors and associates, and may contain information about my character, general reputation, personal characteristics, and mode of living, whichever are applicable. I understand that I have the right to make a written request within a reasonable period of time for a complete and accurate disclosure of additional information concerning the nature and scope of the investigation. I acknowledge that I have voluntarily provided the above information for qualification as an NBPA Certified Player Agent, and I have carefully read and I understand this authorization. Further, I understand that the National Basketball Players Association or its agents have the right to periodically request and receive any information and records concerning me during the time period I remain an NBPA Certified Player Agent and that any information obtained during the initial investigation or any follow-up investigation may be provided to Players and their family members who are advising them in their selection of an agent. The following is my true and complete legal name and all of the information is true and correct to the best of my knowledge.

\*

SAMPLE

[\[clear\]](#)

Use your mouse or finger to draw your signature above



# Application Agreement

I hereby apply for certification as an NBPA Player Agent pursuant to the NBPA Regulations Governing Player Agents, as adopted effective June 30, 2019.

In advance of filling out and signing this application, I have read the NBPA Regulations Governing Player Agents, a copy of which has been provided to me along with this application form.

In submitting this application, I voluntarily agree to comply with and be bound by those Regulations (including but not limited to the maximum fee schedule) which are incorporated herein by reference and any subsequent amendments that may be promulgated thereto.

I understand that making any false or misleading statement of a material nature in answering any question on this Application can result in denial or revocation of certification.

I understand that all the information contained in this Application is designed to benefit the NBPA and its members, both present and future, by helping to insure qualified representation. I unconditionally agree that the information contained herein can be maintained and used by the NBPA Committee on Agent Regulation in performing its functions and can be provided by the Committee to individual NBA players, including rookies, and their family members who are advising them in selecting an agent.

I understand and agree that only persons who have been certified by the NBPA will be permitted to represent NBA players, including rookies, in performing services described in the Regulations (Section 1).

I understand and agree that a precondition to being granted certification is that I swear or affirm that every agreement for the performance of an Agent's services which I enter into with a player shall conform to the Standard Player Agent Contract in effect at that time.

I agree that if I am granted certification, I will save and hold harmless the NBPA, its Officers, employees and representatives (including, but not limited to, the Committee on Agent Regulation and the individual members thereof) from any liability whatsoever resulting from my acts of commission or omission in providing services to any player in connection with his individual compensation negotiations with an NBA club or in connection with any subsequent enforcement of such individual contract.

I agree that if I am denied certification, or if subsequent to obtaining certification it is revoked or suspended pursuant to the Regulations, the exclusive method for appealing from any such action is through the arbitration procedure set forth in the Regulations.

In consideration for being accorded the opportunity to obtain certification status, I further agree that this application and the certification, if one is issued to me, and the NBPA Regulations Governing Play Agents shall constitute a contract between the NBPA and myself.



## Section 1 | General

A | Have you ever been known by any other name or surname?\*

☐ Yes

☐ No

B | Date Of Birth\*

Birthplace\*

C | Spouse Information

First Name

Last Name

Name of Spouse's Employer

Spouse Employer's Address

Address Line 1

Address Line 2

State/Province

ZIP / Postal

Country

Does spouse have any business relationship with the National Basketball Association or it's clubs?

☐ Yes

☐ No

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SAMPLE



## Section 2 | Education

**A | Law or other Graduate School attended:**

School

**City, State**

**Dates of Attendance**

**To:**

**Degree**

**Date Awarded:**

**B | Colleges or Universities attended:**

**1.**

School

City, State



Dates Attended

2.

School

City, State

Degree

Dates Attended

3.

School

City, State

Degree

Dates Attended

4.

School

Dates Attended

Degree

City, State

**C | High School Attended:**

School

**Date Graduated**

**If you have not received a degree from an accredited four-year college/university, list below the negotiating experience you wish the Committee to consider in lieu of any year(s) of education.**

SAMPLE



## Section 3 | Employment

**A | I am currently:\***

☐ Employed

☐ Self-Employed

☐ Unemployed

**B | Please list below the names of employers, addresses, positions held, and dates of all employment you have had for the past ten (10) years:\***

SAMPLE

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## Section 4 | Lawyers and Law Graduates

**A | Have you been admitted to the Bar in any jurisdiction?\***

☐ Yes

☐ No

**B | Do you have any applications for Bar admission currently pending?\***

☐ Yes

☐ No

**C | Have you ever been disbarred, suspended, reprimanded, censured, or otherwise disciplined or disqualified as an attorney, as a member of any other profession, or as a holder of any public office?\***

☐ Yes

☐ No

**D | Are any charges or complaints currently pending against you regarding your conduct as an attorney, as a member of any profession, or as a holder of public office?\***

☐ Yes

☐ No

**E | Has your right to practice before any governmental office, bureau, agency, commission, etc. ever been disqualified, suspended, withdrawn, denied, or terminated?\***



## Section 5 | Professional Licenses (Other Than Law)

**A | Are you a member of any business or professional organization which directly relates to your occupation or profession?\***

☒ Yes

☐ No

**B | Please list any occupational or professional licenses or other similar credentials (i.e. Certified Public Account, Chartered Life Underwriter, Registered Investment Advisor etc.) you have obtained other than college or graduate school degrees, including dates obtained:\***

**SAMPLE**

If not applicable, please write N/A

**C | Have you ever been denied an occupational or professional license, franchise or other similar credentials for which you applied?\***

☐ Yes

☐ No

**D | Do you have currently pending any application for an occupational or professional license, franchise or other similar credentials\***

☐ Yes

☐ No

**E | Have you ever been suspended, reprimanded, censured, or otherwise disciplined or disqualified as a member of any profession, or as a holder of any public office?\***

☐ Yes

☐ No

**F | Are any charges or complaints currently pending against you regarding your conduct as a member of any profession, or as a holder of public office?\***

☐ Yes

☐ No

**G | Has your right to engage in any profession or occupation ever been disqualified, suspended, withdrawn, or terminated?\***

☐ Yes

☐ No

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## Section 6 | Legal Proceedings

**A | Have you ever been charged with, indicted for, convicted of, or pled guilty (including a plea of no contest or nolo contendere) to a criminal charge, other than minor traffic violations (\$100 fine or less)?\***

☐ Yes

☐ No

**B | Have you ever been a defendant in any civil proceedings, including bankruptcy proceedings, in which allegations of fraud, misrepresentation, embezzlement, misappropriation of funds, conversion, breach of fiduciary duty, forgery, or legal malpractice were made against you?\***

☐ Yes

☐ No

**C | Have you ever had legal proceedings brought against you by any player, players association, professional sports club or league (NBA or otherwise) for any reason?\***

☐ Yes

☐ No

**D | Have you ever been adjudicated insane or legally incompetent by any court?\***

☐ Yes

☐ No

**E | Were you ever suspended or expelled from any college, university or other educational institution?\***

☐ No

**F | Has any surety or any bond on which you were covered been required to pay any money on your behalf?\***

☐ Yes

☐ No

**G | Are there any unsatisfied judgments or liens of continuing effect against you (other than alimony or child support)?\***

☐ Yes

☐ No

**H | Have you ever been declared bankrupt or been an owner or part owner of a business which has declared bankruptcy?\***

☐ Yes

☐ No

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## Section 7 | References

Please list below the names, addresses, and daytime telephone numbers of at least five (5) persons, not related to you, who have known you for at least the last five (5) years and who can attest to your character. (Names of officers, player representatives, or staff members of the NBPA may not be used):

Reference 1:

**Name\***

First Name

Last Name

**Phone\***

**Address**

Address Line 1

Address Line 2

City

State/Province

Country

ZIP / Postal

Reference 2:

Name\*

First Name

Last Name

Phone\*

Address

Address Line 1

Address Line 2

City

State/Province

Country

ZIP / Postal

Reference 3:

Name\*

First Name

Last Name

Phone\*

Address Line 1

Address Line 2

City

State/Province

ZIP / Postal

Country

Reference 4:

Name\*

First Name

Last Name

Phone\*

Address

Address Line 1

Address Line 2

City

State/Province

ZIP / Postal

Country

Reference 5:

Name\*

First Name

Last Name

Phone\*

Address

Address Line 1

Address Line 2

City

State/Province

Country

ZIP / Postal

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## Section 8 | Professional Sports Experience

**A | Please list below the names of every NBA player, including rookies, you are now representing or have represented in the past in individual contract negotiations with NBA clubs, indicating the dates of such representation, the NBA club(s) involved, and whether your representation in each instance was pursuant to the NBPA's Standard Player -Agent Contract:**

**SAMPLE**

**B | Apart from basketball, list any other professional sports in which you currently represent or have previously represented any professional athletes, and for each such sport specify the number of athletes you currently represent.**

**SAMPLE**

**C | Have you ever applied to be certified as a player agent pursuant to any other sports union's regulations? \***

☐ No

**D | Have you ever been subject to disciplinary action by another sports union?\***

☐ Yes

☐ No

**E | Are you registered or have you applied to be registered pursuant to any law, statute , or regulation governing athlete's agents , whether within or outside the United States?\***

☐ Yes

☐ No

**F | Please list below the names of any other professional athletes , entertainers, or celebrities you are now representing or have represented in the past, indicating the type of representation, the dates of representation, and the employers involved.**

**SAMPLE**

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## Section 9 | Management Personnel

**A | List the names of any coaches, general managers, or other management officials of any NBA team that you presently are representing or have represented in the past in individual contract negotiations with their respective team, including the date(s) of such representation:**

**B | Do you have a financial or business relationship with any coach, general manager, owner, or other management official of any professional basketball team, club, or league located outside the United States?\***

☒ Yes

☐ No

**Please provide details:\***



## Section 10 | Fees

If the following questions do not apply to you, type N/A.

**A | If you (or any affiliated company) provide any of the services other than contract negotiation, please specify your customary fees for each such service; whether they are based on a percentage of the Player's salary you negotiate, his total income, an hourly fee, or some other arrangement; and the relationship, if any, of such fees to the fees you charge for player contract negotiations and related services.\***

**B | If you provide contract negotiating services for players who seek to play professional basketball outside the United States, please specify your customary fees for such services and the nature of any fee -sharing arrangement you have with any entity that assists you in negotiating such contracts.\***

**C | Do you bill the player for your expenses in connection with the services referred to above?\***

☐ Yes



☒ No

**D | What, if any, additional charges do you customarily bill for other financially related work?\***

**E | When is the player expected to pay your fees for such services?\***

**F | Do you or any affiliated organizations or persons previously listed receive any fees, commissions, rebates or other compensation, other than as paid directly by a player client, as a result of:**

**(1) the player purchasing any securities or funds (stocks, bonds, mutual funds, etc.)?\***

☐ Yes

☐ No

**(2) the player investing in any investment vehicles (partnerships, businesses, corporation, venture capital program, etc.)?\***

☐ Yes

☐ No

☐ Yes

☐ No

**(4) the player making any endorsements, appearances or other licensing arrangements?\***

☐ Yes

☐ No

**If you answered "yes" to any of questions (1)-(4), please explain in detail the compensation that is received.**

**G I Do you receive any other compensation as a result of your representation of the player?**

**Are these additional forms of compensation fully disclosed to the player?\***

**In writing?\***

☐ Yes

☐ Yes

☐ No

☐ No

**Are these additional forms of compensation deducted from the fees you charge as set forth in Section 10 Question A\***

☐ Yes

☐ No

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## Section 11 | File Uploads

**Please note:** Each file upload is limited to a total submission file size of 25 MB.

### Government Issued Photo ID #1\*

File uploads may not work on some mobile devices.

### Government Issued Photo ID #2\*

File uploads may not work on some mobile devices.

### Copy of Highest Diploma or Relevant Negotiating Experience\*

File uploads may not work on some mobile devices.

### Additional Supporting Documents (Optional)

File uploads may not work on some mobile devices.

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## Section 12 | Payment Information

Name of Applicant (As shown on W9)\*

Business Name, If Applicable

Employer Identification Number (EIN) or Social Security Number\*

Address\*

Address Line 1

Address Line 2

City

State/Province

ZIP / Postal

Country



Is address for payment same as above?\*

☐ Yes

☐ No

Phone\*

Email\*

**Attach completed IRS W-9 (W-8BEN For International Applicants)\***

**File uploads may not work on some mobile devices.**

Click [here](#) to access a blank W-9 form, or [here](#) for a W-8BEN. Download to complete and save. Then click 'Choose File' above to upload.

**Payment Method\***

☐ Domestic Applicant (Within the United States)

☐ International Applicant

**This concludes the application. Please ensure that all sections have been completed thoroughly. By clicking the Submit button, your application will be sent to our legal department for review.**

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